

Project Approval Thresholds for Non-State Capital Improvement Projects ⁽¹⁾
(Project Approval = Scope, Budget, Funding, and Schedule)

Project Classification	Project Budget	Approval Level ^(2, 3)	Required Documentation	
			Documents	Prepared by:
Minors	\$35,001 - \$750,000	Campus Architect	Minor Capital Improvement Form	User Department works with Project Manager. Completed form is submitted to Capital Planning for processing.
			Environmental Impact Classification (EIC)	Environmental Planner
Mini Majors ⁽⁴⁾	\$750,001 - \$10,000,000	Chancellor	Action Item	Capital Planning
			Capital Improvement Budget (CIB)	Project Manager
			Project Schedule	Project Manager
			Schematic Drawing	Project Manager
			Environmental Impact Classification (EIC)	Environmental Planner
Delegated Campus Approval Majors ^(5, 6)	\$10,000,001 - \$70,000,000	Chancellor (after endorsement from UCOP and OGC)	Project Planning Guide (including CIB, project schedule, project site exhibits, and EIC)	Capital, Physical & Community, & Environmental Planners, Project Manager
			Delegated-Authority Project: Certification Checklist	
Regental ⁽⁵⁾	\$70,000,001 +	Board of Regents (Finance & Capital Strategies Committee)	Action Item	Capital Planning
			Project Planning Guide (including CIB, project schedule, project site exhibits, and EIC)	Capital, Physical & Community, & Environmental Planners, Project Manager

⁽¹⁾ State-funded projects require project approval by The Regents.

⁽²⁾ Subsequent budget increases or significant scope changes require supplemental approval; such approval varies based on the specific circumstances.

⁽³⁾ Design and Environmental approvals have similar budget thresholds for approval, although State-funded projects could go through the Delegated Campus Approval process if other criteria are met.

⁽⁴⁾ If external financing will be used to fund projects with budgets less than \$10 million, Chancellor would approve the project after Approval of External Financing by UCOP EVP/CFO.

⁽⁵⁾ A Business Case Analysis (BCA) is required for projects with budgets greater than \$10 million and for all parking structure projects. UCOP consults with campuses as needed to determine alternatives to be considered and associated comparative measures. Campuses are urged to prepare the BCA very early in the project decision process and to consult with UCOP on direction and detail.

⁽⁶⁾ Project approval through the Delegated process requires that the project is consistent with the Long Range Development Plan, the Physical Design Framework, and included in the ten-year Capital Financial Plan. Path for project approval will be evaluated with each project, as part of defining the initial project schedule. Also refer to UCOP "Delegated Process User Guide" dated June 2014 for more information.