

Project Approval Thresholds for Non-State Capital Improvement Projects ⁽¹⁾
(Project Approval = Scope, Budget, Funding, and Schedule)

Project Classification	Project Budget	Approval Level ^(2, 3)	Required Documentation	
			Documents	Prepared by:
Minors	\$35,001 - \$750,000	Campus Architect	Minor Capital Improvement Form	User Department works with FD&C/FM/FE Project Manager. Completed form is submitted to Capital Planning for processing.
			Environmental Impact Classification (EIC)	Physical and Community Planning
Mini Majors ⁽⁴⁾	\$750,001 - \$10,000,000	Chancellor	Action Item	Capital Planning
			Capital Improvement Budget (CIB)	FD&C/FM/FE
			Project Schedule	FD&C/FM/FE
			Schematic Drawing	FD&C/FM/FE
			Environmental Impact Classification (EIC)	Physical and Community Planning
Delegated Campus Approval Majors ^(5, 6)	\$10,000,001 - \$70,000,000	Chancellor (with endorsement from UCOP and OGC)	Project Planning Guide (including CIB, project schedule, project site exhibits, and EIC)	Capital Planning, FD&C/FM/FE, Physical and Community Planning
			Delegated-Authority Project: Certification Checklist	
Regental ⁽⁵⁾	\$70,000,001 +	Board of Regents (Finance & Capital Strategies Committee)	Action Item	Capital Planning
			Project Planning Guide (including CIB, project schedule, project site exhibits, and EIC)	Capital Planning, FD&C/FM/FE, Physical and Community Planning

⁽¹⁾ State-funded projects require project approval by The Regents.

⁽²⁾ Subsequent budget increases or significant scope changes require supplemental approval; such approval varies based on the specific circumstances.

⁽³⁾ Design and Environmental approvals have similar budget thresholds for approval, although State-funded projects could go through the Delegated Campus Approval process if other criteria are met.

⁽⁴⁾ If external financing will be used to fund projects with budgets less than \$10 million, campus can approve the project pending approval of external financing by UCOP EVP/CFO.

⁽⁵⁾ A Business Case Analysis (BCA) is required for projects with budgets greater than \$10 million and for all parking structure projects. The BCA should be completed at project initiation, as much as possible. BCAs for projects with budgets of \$70 million or less can be approved by the Chancellor; VP Budget and Capital Resources would approve BCAs for projects with budgets greater than \$70 million.

⁽⁶⁾ Project approval through the Delegated process requires that the project is consistent with the Long Range Development Plan, the Physical Design Framework, and included in the ten-year Capital Financial Plan. Path for project approval will be evaluated with each project, as part of defining the initial project schedule. Also refer to UCOP "Delegated Process User Guide" dated June 2014 for more information.